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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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PE	4		Application Number	10/659,800
)			Filing Date	September 10, 2003
NDV 02	TRANSMITTAL	-	First Named Inventor	FARESE JR., ROBERT V.
	FORM		Group Art Unit	1635
TRAP	to be used for all correspondence after init	tial filing)	Examiner Name	Whiteman, Brian A.
	Total Number of Pages in This Submissi	on 14 pages	Attorney Docket Number	UCAL-105CIP2CON2
	Total Number of Pages III This Submissi	011	ES (check all that apply)	
	Fee Transmittal Form  Fee Attached  Amendment / Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority  Documents  Response to Missing Parts/ Incomplete Application  Response to Missing Parts  under 37 CFR 1.52 or 1.53	Control   Cont	ing-related Papers  n to Convert to a conal Application of Attorney, Revocation e of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please identify below):  Form PTO/SB/08a 4 References EP Examination Report Return Postcard
	SIGNA	TURE OF APPL	ICANT, ATTORNEY, OF	RAGENT
Signing (Reg. N	Attorney/Agent o.) PAULA A. BORDEI BOZICEVIC, FIELD	N, 42,344 & FRANCIS, LLF		
Signatu	re auc			
Date	November 2, 2006	- A		

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(e)

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-14	TRADEMARKS

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Attorney Docket	UCAL-105CIP2CON2
First Named Inventor	FARESE JR, Robert V.
Application Number	10/659,800
Confirmation No.	7565
Filing Date	September 10, 2003
Group Art Unit	1635
Examiner Name	Whiteman, Brian A.

Title: "DIACYLGLYCEROL O-ACYLTRANSFERASE"

Sir:

Applicants submit herewith patents and/or publications which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. While this Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such. A listing of patents and/or publications is shown on enclosed Form PTO-SB/08A and a copy of each patent and/or publication is also enclosed.

Each item of information contained in the Information Disclosure Statement filed herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement (37 C.F.R. 1.97(e)(1)). A copy of the communication is enclosed for the Examiner's convenience.

The Examiner is requested to make the citations listed on the enclosed PTO-SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO-SB/08A, indicating the references have been considered and made of record herein.

No fee is believed due as this statement is being submitted within three months of the mailing date of the enclosed foreign communication. However, if it is determined that fees are required, the Commissioner is hereby authorized to charge any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 50-0815, Order No. UCAL-105CIP4.

Respectfully submitted,

BOZICEVIC, FIELD & FRANCIS LLP

Paula A. Borden, Reg. No. 42,344

Pate: Nov. 2, 2006

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PTO/SB/08a (08-03)
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Substitute	for form 1449A/PTO	NO/	0 2 2006	Complete if Known			
		32 8		Application Number	10/659,800		
	NFORMATION DIS	<i>C&amp;OS</i>	SURE 🎉	Filing Date	September 10, 2003		
	NFORMATION DIS STATEMENT BY AI	PPERC	ANTAR	First Named Inventor	FARESE JR, Robert V.		
		, T.		Art Unit	1635		
	(use as many sheets as i	necessar	y)	Examiner Name	Whiteman, Brian A.		
Sheet	1	of	2	Attorney Docket Number	UCAL-105CIP2CON2		

			U.S. PATENT	DOCUMENTS	
Examiner Initials'	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Document Number Publication Date  MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
		US-			<del></del>
		US-			

	FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>6</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
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Examiner	Date	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE				Complete if Known		
				Application Number	10/659,800	
				Filing Date	September 10, 2003	
STATEMENT BY APPLICANT				First Named Inventor	FARESE JR, Robert V.	
STATEMENT BY AFFEIDANT				Art Unit	1635	
(use as many sheets as necessary)				Examiner Name	Whiteman, Brian A.	
Sheet 2 of 2		Attorney Docket Number	UCAL-105CIP2CON2			

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
		OELKERS et al., "Characterization of two human genes encoding acyl coenzyme A:cholesterol acyltransferase-related enzymes." Journal of Biological Chemistry, vol. 273, no. 41, October 1998 (1998-10), pages 26765-26771, XP002122746			
		DATABASE GENBANK [Online] 15 October 1998 (1998-10-15), OELKERS et al., "Homo sapiens ACAT related gene product 1 mRNA, complete CDs". Database Accession no. AF059202			
		DATABASE GENBANK [Online] 19 September 1997 (1997-09-19) NEWMAN et al., "24635 CE4-13 Arabidopsis Thaliana cDNA clone E6B2T7,RMA seqiemce. Database Accession no. AA042298			
		TABATA et al., "Xanthohumols, diacylglycerol acyltransferase inhibitors, from Humulus Lupulus" Phytochemistry, Pergamon Press, GB, vol. 46, no. 4, October 1997 (1997-10), pages 683-687, XP004293462 ISSN: 0031-9422			
Examiner		Date Considered			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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